



09-27-02

68/1647.

EXPRESS MAIL NO.: EL 500 576 091 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Herath et al.

Serial No.: 10/014,340

Examiner: To Be Assigned

Filed: December 10, 2001

Group Art Unit: 1647

For: NUCLEIC ACID MOLECULES,
POLYPEPTIDES AND USES
THEREFOR, INCLUDING
DIAGNOSIS AND TREATMENT OF
ALZHEIMER'S DISEASE

Attorney Docket No.: 9195-078-999

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 & §1.56

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent and Trademark Office of all references coming to the attention of Applicants or Attorneys for Applicants which are or may be related to patentability of the claimed invention, Applicants hereby direct the Examiner's attention to references AA to AQ, which are listed on the accompanying revised PTO Form 1449. Copies of references AA to AQ are submitted herewith. In connection with reference AE (which is in Chinese), Applicants attach hereto a copy of a BLAST comparison between SEQ ID NO:2 in reference AE and SEQ ID NO:821 in the instant application.

Applicant respectfully requests that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

RECEIVED

OCT 02 2002

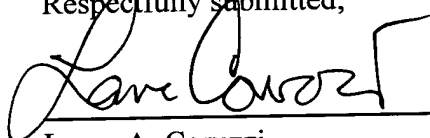
NY2 - 1353585.1

TECH CENTER 1600/2900

Pursuant to 37 C.F.R. §1.97, because this Information Disclosure Statement is being submitted before the first substantive Office Action, it is believed no fee is due. If however, a fee is due, please charge any required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date September 25, 2002



30,742

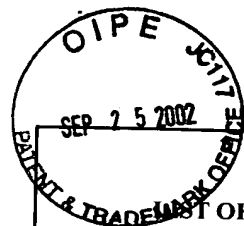
Laura A. Coruzzi

(Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
Phone: (212) 790-9090

By: Scott Warren
Reg. No. 47,167
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
Phone: (212) 790-9090

Enclosures



LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

9195-078

APPLICATION NO.

10/014,340

APPLICANT

Herath et al.

FILING DATE

December 10, 2001

GROUP

1647

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,064,754	5/16/00	Parekh et al.			
	AB	6,278,794	8/21/01	Parekh et al.			2/8/00

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AC	WO 98/23950	6/4/98	PCT				
	AD	WO 00/58473 (Specification, claims, and SEQ ID Nos. 2697 and 2698)	10/5/00	PCT				
	AE	WO 01/38369	5/31/01	PCT				X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AF	Alafuzoff et al., 1986, "Isoelectric focusing and two-dimensional gel electrophoresis in plasma and cerebrospinal fluid from patients with dementia" Eur. Neurol. 25:285-289
	AG	Azzi et al., 1993, "The mitochondrial tricarboxylate carrier", J. Bioenergetics Biomembranes 25:515-524
	AH	Fleming et al., 2001, "A mutation in a mitochondrial transmembrane protein is responsible for the pleiotropic hematological and skeletal phenotype of <i>flexed-tail (ff)</i> mice", Genes Dev. 15:652-657
	AI	Harrington and Merrill, 1988, "Cerebrospinal fluid protein analysis in diseases of the nervous system", J. Chromatog. 429:345-358
	AJ	Johnson et al., 1992, "Cerebrospinal fluid protein variations in common to Alzheimer's disease and schizophrenia", Applied and Theoretical Electrophoresis 3:47-53
	AK	Mashige et al., 1992, "Analysis for cerebrospinal fluid proteins by sodium dodecyl sulfate-polyacrylamide gel electrophoresis", Clin. Chem. 38:2008-2012
	AL	Mattila et al., 1994, "Altered blood-brain-barrier function in Alzheimer's disease?", Acta Neurol. Scand. 89:192-198
	AM	Smith et al., 1997, "Iron accumulation in Alzheimer disease is a source of redox-generated free radicals", Proc. Natl. Acad. Sci. USA 94:9866-9868
	AN	Smith et al., 2000, "Metabolic, metallic, and mitotic sources of oxidative stress in Alzheimer disease", Antioxidants & Redox Signaling 2:413-420
	AO	Thompson and Johnson, 1982, "Electrophoresis of CSF proteins", British J. Hosp. Med. 28:600-608
	AP	Townsend et al., 1987, "Comparison of methods for analysis of CSF proteins in patients with Alzheimer's disease", Neurochem. Pathol. 6:213-229
	AQ	Wiederkehr, 1991, "Analysis of cerebrospinal fluid proteins by electrophoresis", J. Chromatog. 569:281-296

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

OCT 02 2002

TECH CENTER 1600/2900